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PTO/SB/08A (08-00)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		OCT 25 2004 Complete if Known	
Sheet	1	of	2
		Application Number	10776,682
		Filing Date	02/10/2004
		First Named Inventor	Rodolfo A. Morales
		Group Art Unit	3764
		Examiner Name	Unassigned
		Attorney Docket Number	GDS 1003-4

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
UKR	A1	5,257,975		Foshee	11-02-1993	
	A2	5,522,873		Jackman et al.	06-04-1996	
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	A4	5,769,812		Stevens et al.	06-23-1998	
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	A15	2003/0130731	A1	Vidlund et al.	07-10-2003	
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	A17					
	A18					
	A19					
	A20					

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Examiner Initials ²	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ts
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
UKR	B1	WO	98/07375		Trustees of Columbia U.	02-26-1998		
UKR	B2	WO	02/03892		Paul A. Spence	01-17-2002		
	B3							
	B4							
	B5							
	B6							
	B7							
	B8							
	B9							
	B10							

Examiner Signature	<i>Michelle K. Rychar</i>	Date Considered	9/22/04
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1448B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	107776,682
		Filing Date	02/10/2004
		First Named Inventor	Rodolfo A. Morales
		Group Art Unit	3764
		Examiner Name	Unassigned
Sheet 2 of 2	Attorney Docket Number	GDS 1003-4	

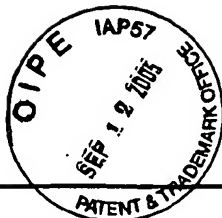
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MKR	C1	"Anatomical Landscape of Heartport Technology", Heartport Common Stock Prospectus, April 25, 1996, pp. 158-159.	
MKR	C2	NAGY, ZSOLT L. et al., "Mitral annuplasty with a suture technique", European Journal of Cardio-thoracic Surgery 18 (2000) p. 739.	
MKR	C3	SHUMWAY, SARA J. et al., "A "Designer" Annuplasty Ring for Patients with Massive Mitral Annular Dilation", Ann Thorac Surg, Dec. 1988, 46:695-696.	

Examiner Signature	<i>Whe Lisa K. Ryckman</i>	Date Considered	9/22/06
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3764	
Sheet	1	of	3	Examiner Name	Not Yet Assigned
				Attorney Docket Number	578492000510

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MVP	1.	US-2001/0005787-A1	06-28-2001	Oz et al.	
	2.	US-2001/0014800-A1	08-16-2001	Frazier et al.	
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Examiner Signature	Michelle K. Ryckman	Date Considered	9/22/06
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pa- 1006899

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3764	
Examiner Name	Not Yet Assigned				
Sheet	2	of	3	Attorney Docket Number	578492000510

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MKL	76.	WO-94/03227-A1		02-17-1994	Stefanadis et al.		
	77.	WO-00/60995-A2, A3		10-19-2000	Evalue, Inc.		
	78.	WO-00/67640-A2, A3		11-16-2000	Brock Rogers Surgical, Inc.		
	79.	WO-01/26586-A1		04-19-2001	Seguin	Translation of Abstract Only	
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	81.	WO-03/105670-A2, A3		12-24-2003	Guided Delivery Systems, Inc.		
MKL	82.	WO-2005/025644-A2		03-24-2005	Guided Delivery Systems, Inc.		

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Examiner Signature	<i>Melissa K. Ryckman</i>	Date Considered	9/22/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/776,682
				Filing Date	February 10, 2004
				First Named Inventor	Rodolfo A. MORALES
				Art Unit	3764
				Examiner Name	Not Yet Assigned
Sheet	3	of	3	Attorney Docket Number	578492000510

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ³
NKR	83.	De Simone, R. et al. (April 15, 1993). "Adjustable Tricuspid Valve Annuloplasty Assisted by Intraoperative Transesophageal Color Doppler Echocardiography," <i>Am. J. Cardiol.</i> 71(11):926-931.	
	84.	De Simone, R. et al. (April 1, 1994). "Adjustable Annuloplasty for Tricuspid Insufficiency with External Control," <i>Reader's Comments and Reply, Am. J. Cardiol.</i> 73(9):721-722.	
NKR	85.	Downing, S.W. et al. (2002). "Feasibility of Off-Pump ASD Closure Using Real-Time 3-D Echocardiography," <i>The Heart Surgery Forum</i> 5(2):96-99, Abstract 7025.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/776,682
		Filing Date	February 10, 2004
		First Named Inventor	Rodolfo A. MORALES
		Art Unit	3785
		Examiner Name	G. Jackson
Sheet	1	of	1
		Attorney Docket Number	578492000510

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MJR	1.	US-2003/0033006-A1	02-13-2003	Phillips et al.	
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	20.	US-2006/0025787-A1	02-02-2006	Morales et al.	
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